Minnesota Department of Health

**Grant Agreement Cover Sheet**

**NOTE:** THIS GRANT AGREEMENT COVER SHEET IS REQUIRED FOR ALL GRANT AGREEMENTS AND AMENDMENTS.

* - All instructions are in RED text and must be deleted before sending to Legal/Encumbrance.
* In order to enter a blank return in an open/editable area press and hold the “Shift” and “Enter” keys at the same time.
* Date Picker – the Date Picker may be deleted if desired; select the field and hit delete; then manually enter the date.

You have received a grant agreement from the Minnesota Department of Health (MDH). Information about the grant agreement, including funding details, are included below. Contact your MDH Grant Manager if you have questions about this cover sheet.

**ATTACHMENT:** Grant Agreement

**CONTACT FOR MDH:** Enter Grant manager name, grant manager phone number, grant manager email

| Grantee SWIFT Information | Grant Agreement Information | Program & Funding Information |
| --- | --- | --- |
| **Name of MDH Grantee** (as it appears in SWIFT)**:**Enter Grantee’s Name | **SWIFT Contract Number:**Enter Agreement Number\*This info will **not** be available **until** funds are encumbered in SWIFT; FM enter this number before they return the grant agreement. | **MDH Program Name:**Insert Program Name |
| **Grantee SWIFT Vendor Number:**Enter SWIFT Vendor ID**SWIFT Vendor Location Code:**Enter SWIFT Location Code | **Effective Date:**Select date, OR the date all signatures are collected and the agreement is fully executed, whichever is later.**Expiration Date:**Select date | **Total State Grant Funds:** $0.00**Total Federal Grant Funds:** $0.00**Total Grant Funds *(all funds)*:** $0.00 |

**The language in this document is approved for grants only.
Do NOT transfer any language from this document to a P/T contract without explicit prior approval from MDH Legal.**

Minnesota Department of Health

Grant Agreement

This grant agreement is between the State of Minnesota, acting through its Commissioner of the Department of Health (“MDH”) and Insert name of Grantee (“Grantee”). Grantee’s address is Insert complete address.

# Recitals

1. MDH is empowered to enter into this grant agreement under Minn. Stat. §§ [144.05](https://www.revisor.mn.gov/statutes/cite/144.05) and [144.0742](https://www.revisor.mn.gov/statutes/cite/144.0742) and insert the program’s specific statutory authority to enter into the grant. If no specific statutory authority, delete these instructions.
2. MDH is in need of Add a few sentences describing how the grant fulfills the MDH mission and how the grant fulfills the objectives of the incoming grant.
3. The vision of MDH is for health equity in Minnesota, where all communities are thriving and all people have what they need to be healthy. Health equity is achieved when every person has the opportunity to attain their health potential. Grantee agrees, where applicable, to perform its work with advancing health equity as a goal.
4. Grantee represents that it is duly qualified and will perform all the activities according to the terms of this grant agreement. Grantee agrees to minimize administrative costs as a condition of this grant agreement pursuant to [Minn. Stat. § 16B.98](https://www.revisor.mn.gov/statutes/cite/16B.98), subd 1.

# Grant Agreement

## Term of Agreement

### Effective Date

Select the date, or the date MDH obtains all required signatures under [Minn. Stat. § 16B.98](https://www.revisor.mn.gov/statutes/cite/16B.98), subd. 5, whichever is later. Per [Minn. Stat. § 16B.98](https://www.revisor.mn.gov/statutes/cite/16B.98), subd 7, no payments will be made to the Grantee until this grant agreement is fully executed. Grantee must not begin work until this grant agreement is fully executed and MDH’s Authorized Representative has notified Grantee that work may commence.

### Expiration Date

Select the date, or until all obligations have been fulfilled to the satisfaction of MDH, whichever occurs first.

### Survival of Terms

The following clauses survive the expiration or cancellation of this grant agreement: Liability; Financial Examinations; Government Data Practices and Data Disclosure; Ownership of Equipment and Supplies; Intellectual Property; Publicity and Endorsement; and Governing Law, Jurisdiction, and Venue.

## Activities

### MDH’s Activities

MDH activities, in accordance with the Minnesota Department of Administration's Office of Grants Management's policies and federal regulations, may include but are not limited to financial reconciliations, site visits, programmatic monitoring of activities performed, and grant activity evaluation.

### Grantee’s Activities

Grantee, who is not a state employee, shall conduct the activities specified in Exhibit A, which is attached and incorporated into this grant agreement.

## Time

Grantee is required to perform all of the activities stated in this grant agreement, and any incorporated exhibits, within the grant agreement period. MDH is not obligated to extend the grant agreement period. Failure to meet a deadline may be a basis for a determination by MDH’s Authorized Representative that Grantee has not complied with the terms of the grant agreement.

## Award and Payment

MDH will award funds to Grantee for all activities performed in accordance with this grant agreement.

### Grant Award

Reimbursement will be in accordance with the agreed upon budget contained in Exhibit B, which is attached and incorporated into this grant agreement

### Travel Expenses

Grantee will be reimbursed for mileage at the current IRS rate in effect at the time the travel occurred; meals and lodging expenses will be reimbursed in the same manner and in no greater amount than provided in the current “[Commissioner’s Plan](https://mn.gov/mmb/employee-relations/labor-relations/labor/)” promulgated by the Commissioner of Minnesota Management and Budget (“MMB”); or, at the Grantee’s established rate (for all travel related costs), whichever is lower, at the time travel occurred. Grantee will not be reimbursed for travel and subsistence expenses incurred outside Minnesota unless Grantee has received MDH’s prior written approval for out-of-state travel. Minnesota will be considered the home state for determining whether travel is out-of-state.

### Budget Modifications

Grantee may modify any line item in the most recently agreed-upon budget by up to 10 percent without prior written approval from MDH. Grantee must notify MDH of any modifications up to 10 percent in writing no later than the next invoice. Grantee must obtain prior written approval from MDH for line-item modifications greater than 10 percent. Grantee’s failure to obtain MDH’s prior approval may result in denial of modification request, loss of funds, or both. The total obligation of MDH for all compensation and reimbursements to Grantee shall not exceed the total obligation listed under “Total Obligation.”

### Total Obligation

The total obligation of MDH for all compensation and reimbursements to Grantee under this grant agreement will not exceed $0.00.

### Terms of Payment

#### Invoices

MDH will promptly pay Grantee after Grantee presents an itemized invoice for the activities actually performed and MDH’s Authorized Representative accepts the invoiced activities. Invoices must be submitted at least quarterly or according to a schedule agreed upon by the Parties. The final invoice is due 30 calendar days after the expiration date of the grant agreement.

#### Matching Requirements

If applicable, insert the conditions of the matching requirement. If not applicable, please delete this entire matching paragraph. Grantee certifies that the following matching requirement, for the grant agreement will be met by Grantee: $0.00.

### Contracting and Bidding Requirements

#### Municipalities

A grantee that is a municipality, as defined in [Minn. Stat. § 471.345](https://www.revisor.mn.gov/statutes/cite/471.345), subd. 1, is subject to the contracting requirements set forth under [Minn. Stat. § 471.345](https://www.revisor.mn.gov/statutes/cite/471.345). Projects that involve construction work are subject to the applicable prevailing wage laws, including those under [Minn. Stat. § 177.41](https://www.revisor.mn.gov/statutes/cite/177.41), et. seq.

#### Non-municipalities

Grantees that are not municipalities must adhere to the following standards in the event that activities assigned to Grantee are to be subcontracted out to a third party:

* + - 1. Any services or materials that are expected to cost $100,000 or more must undergo a formal notice and bidding process consistent with the standards set forth under [Minn. Stat. ch. 16B](https://www.revisor.mn.gov/statutes/cite/16B.97).
			2. Services or materials that are expected to cost between $25,000 and $99,999 must be competitively awarded based on a minimum of three verbal quotes or bids.
			3. Services or materials that are expected to cost between $10,000 and $24,999 must be competitively awarded based on a minimum of two verbal quotes or bids or awarded to a targeted vendor.
			4. Grantee must take all necessary affirmative steps to assure that targeted vendors from businesses with active certifications through the following entities are used when possible:
1. Minnesota Department of Administration’s Certified Targeted Group, Economically Disadvantaged and Veteran-Owned Vendor List (<http://www.mmd.admin.state.mn.us/process/search/>);
2. Metropolitan Council’s Targeted Vendor list: Minnesota Unified Certification Program (<https://mnucp.metc.state.mn.us/>); or
3. Small Business Certification Program through Hennepin County, Ramsey County, and City of St. Paul: Central Certification Program (<https://www.stpaul.gov/departments/human-rights-equal-economic-opportunity/contract-compliance-business-development/central>.
	* + 1. Grantee must maintain written standards of conduct covering conflicts of interest and governing the actions of its employees engaged in the selection, awarding and administration of contracts.
			2. Grantee must maintain support documentation of the purchasing or bidding process utilized to contract services in their financial records, including support documentation justifying a single/sole source bid, if applicable.
			3. Notwithstanding parts (i) through (iv) above, MDH may waive the formal bidding process requirements when:
* Vendors included in response to a competitive grant request for proposal process were approved and incorporated as an approved work plan for the grant agreement or
* There is only one legitimate or practical source for such materials or services and Grantee has established that the vendor is charging a fair and reasonable price.
	+ - 1. Projects that involve construction work of $25,000 or more, are subject to applicable prevailing wage laws, including those under [Minn. Stat. §§ 177.41](https://www.revisor.leg.state.mn.us/statutes/?id=177.41) through [177.44](https://www.revisor.leg.state.mn.us/statutes/?id=177.44).
			2. Grantee must not contract with vendors who are suspended or debarred in Minnesota. The list of debarred vendors is available at: <http://www.mmd.admin.state.mn.us/debarredreport.asp>.

## Conditions of Payment

All activities performed by Grantee pursuant to this grant agreement must be performed in accordance with the terms of this grant agreement, as determined in the sole discretion of MDH’s Authorized Representative. Furthermore, all activities performed by Grantee must be in accordance with all applicable federal, state, and local laws, ordinances, rules, and regulations. MDH will not pay Grantee for work that MDH determines is noncompliant with the terms and conditions of this grant agreement or performed in violation of federal, state, or local law, ordinance, rule, or regulation.

## Authorized Representatives

### MDH’s Authorized Representative

MDH’s Authorized Representative for purposes of administering this grant agreement is insert name, title, address, telephone number, and e-mail, or their successor, and has the responsibility to monitor Grantee’s performance and the final authority to accept the activities performed under this grant agreement. If the activities performed are satisfactory, MDH’s Authorized Representative will certify acceptance on each invoice submitted for payment.

### Grantee’s Authorized Representative

Grantee’s Authorized Representative is insert name, title, address, telephone number, and e-mail, or their successor. Grantee’s Authorized Representative has full authority to represent Grantee in fulfillment of the terms, conditions, and requirements of this grant agreement. If Grantee selects a new Authorized Representative at any time during this grant agreement, Grantee must immediately notify MDH’s Authorized Representative in writing, via e-mail or letter.

## Assignment, Amendments, Waiver, and Grant Agreement Complete

### Assignment

Grantee shall neither assign nor transfer any rights or obligations under this grant agreement.

### Amendments

If there are any amendments to this grant agreement, they must be in writing. Amendments will not be effective until they have been executed and approved by MDH and Grantee.

### Waiver

If MDH fails to enforce any provision of this grant agreement, that failure does not waive the provision or MDH’s right to enforce it.

### Grant Agreement Complete

This grant agreement, and any incorporated exhibits, contains all the negotiations and agreements between MDH and Grantee. No other understanding regarding this grant agreement, whether written or oral, may be used to bind either party.

## Liability

Grantee must indemnify and hold harmless MDH, its agents, and employees from all claims or causes of action, including attorneys’ fees incurred by MDH, arising from the performance of this grant agreement by Grantee or Grantee’s agents or employees. This clause will not be construed to bar any legal remedies Grantee may have for MDH’s failure to fulfill its obligations under this grant agreement. Nothing in this clause may be construed as a waiver by Grantee of any immunities or limitations of liability to which Grantee may be entitled pursuant to [Minn. Stat. ch. 466](https://www.revisor.mn.gov/statutes/cite/466), or any other statute or law.

## Financial Examinations

The relevant books, records, documents, and accounting procedures and practices of Grantee and any entity with which Grantee has engaged in carrying out the purpose of this grant agreement are subject to examination under [Minn. Stat. § 16B.98](https://www.revisor.mn.gov/statutes/cite/16B.98), subd. 8. Examinations may be conducted by MDH, the Minnesota Commissioner of Administration, and the Minnesota State Auditor, or and the Minnesota Legislative Auditor, as appropriate, for a minimum of six years from the end of this grant agreement, receipt and approval of all final reports, or the required period of time to satisfy all state and program retention requirements, whichever is later.

## Government Data Practices and Data Disclosure

### Government Data Practices

Grantee and MDH must comply with the Minnesota Government Data Practices Act, [Minn. Stat. ch. 13](https://www.revisor.mn.gov/statutes/cite/13), as it applies to all data provided by MDH under this grant agreement, and as it applies to all data created, collected, received, stored, used, maintained, or disseminated by Grantee under this grant agreement pursuant to [Minn. Stat. § 13.05](https://www.revisor.mn.gov/statutes/cite/13.05), subd. 11(a). The civil remedies of [Minn. Stat. § 13.08](https://www.revisor.mn.gov/statutes/cite/13.08) apply to the release of the data referred to in this clause by either Grantee or MDH. If Grantee receives a request to release the data referred to in this clause, Grantee must immediately notify MDH. MDH will give Grantee instructions concerning the release of the data to the requesting party before any data is released. Grantee’s response to the request must comply with the applicable law.

### Data Disclosure

Grantee consents to disclosure of its social security number, federal employee tax identification number, or Minnesota tax identification number--which may have already been provided to MDH--to federal and state tax agencies and state personnel involved in the payment of state obligations pursuant to [Minn. Stat. § 270C.65](https://www.revisor.leg.state.mn.us/statutes/?id=270C.65), subd. 3, and all other applicable laws. These identification numbers may be used in the enforcement of federal and state tax laws which could result in action requiring Grantee to file state tax returns and pay delinquent state tax liabilities, if any.

## Ownership of Equipment and Supplies

### Equipment. “Equipment” is defined as tangible personal property having a useful life of more than one year and a per-unit acquisition cost which equals or exceeds $5,000. MDH shall have the right to require transfer of all Equipment purchased with grant funds (including title) to MDH or to an eligible non-State party named by MDH. MDH may require the transfer of Equipment if the grant program is transferred to another grantee. At the end of this grant agreement, grantee must contact MDH’s Authorized Representative for further instruction regarding the disposition of Equipment.

### Supplies. “Supplies” is defined as all tangible personal property other than those described in the definition of Equipment. Grantee must notify MDH’s Authorized Representative regarding any remaining Supplies with an aggregate market value of $5,000 or more for further instruction regarding the disposition of those Supplies. For the purpose of this section, Supplies includes but is not limited to computers and incentives.

## Ownership of Materials and Intellectual Property Rights

### Ownership of Materials

“Materials” is defined as any inventions, reports, studies, designs, drawings, specifications, notes, documents, software, computer-based training modules, and other recorded materials in whatever form. Grantee shall own all rights, title, and interest in all of the materials conceived, created, or otherwise arising out of the performance of this grant agreement by it, its employees, or subgrantees, either individually or jointly with others.

Grantee hereby grants to MDH a perpetual, irrevocable, no-fee license and right to reproduce, modify, distribute, perform, make, have made, and otherwise use the Materials for any and all purposes, in all forms and manners that MDH, in its sole discretion, deems appropriate. Grantee shall, upon the request of MDH, execute all papers and perform all other acts necessary to document and secure this right and license to the Materials by MDH. At the request of MDH, Grantee shall permit MDH to inspect the original Materials and provide a copy of any of the Materials to MDH, without cost, for use by MDH in any manner MDH, in its sole discretion, deems appropriate.

### Intellectual Property Rights

Grantee represents and warrants that Materials produced or used under this grant agreement do not and will not infringe upon any intellectual property rights of another including but not limited to patents, copyrights, trade secrets, trade names, and service marks and names. Grantee shall indemnify and defend MDH, at Grantee’s expense, from any action or claim brought against MDH to the extent that it is based on a claim that all or parts of the materials infringe upon the intellectual property rights of another. Grantee shall be responsible for payment of any and all such claims, demands, obligations, liabilities, costs, and damages including, but not limited to, reasonable attorney fees arising out of this grant agreement, amendments and supplements thereto, which are attributable to such claims or actions. If such a claim or action arises or in Grantee’s or MDH’s opinion is likely to arise, Grantee shall at MDH’s discretion either procure for MDH the right or license to continue using the materials at issue or replace or modify the allegedly infringing materials. This remedy shall be in addition to and shall not be exclusive of other remedies provided by law.

## Workers’ Compensation

Grantee certifies that it is in compliance with [Minn. Stat. § 176.181](https://www.revisor.leg.state.mn.us/statutes/?id=176.181), subd. 2, which pertains to workers’ compensation insurance coverage. Grantee’s employees and agents, and any contractor hired by Grantee to perform the work required by this grant agreement and its employees, will not be considered State employees. Any claims that may arise under the Minnesota Workers’ Compensation Act on behalf of these employees, and any claims made by any third party as a consequence of any act or omission on the part of these employees, are in no way MDH’s obligation or responsibility.

## Publicity and Endorsement

### Publicity

Any publicity given to the program, publications, or activities performed resulting from this grant agreement, including but not limited to, notices, informational pamphlets, press releases, research, reports, signs, and similar public notices prepared by or for Grantee or its employees individually or jointly with others, or any subgrantees, must identify MDH as the sponsoring agency. If publicity is not specifically authorized under this grant agreement, Grantee must obtain prior written approval from MDH’s Authorized Representative. If federal funding is being used for this grant agreement, the federal program must also be recognized.

### Endorsement

Grantee must not claim that MDH endorses its products, services, or activities.

## Termination

### Termination by MDH or Grantee

MDH or Grantee may cancel this grant agreement at any time, with or without cause, upon 30 days’ written notice (e.g., by mail, email, or both) to the other party.

### Termination for Cause

If Grantee fails to comply with the provisions of this grant agreement, MDH may terminate this grant agreement without prejudice to the right of MDH to recover any money previously paid. The termination shall be effective five business days after MDH sends written notice (e.g., mail, email, or both) of termination to Grantee.

### Termination for Insufficient Funding

MDH may immediately terminate this grant agreement if it does not obtain funding from the Minnesota Legislature or other funding source; or if funding cannot be continued at a level sufficient to allow for the payment of the work scope covered in this grant agreement. Termination must be by written notice to Grantee; e.g., mail, email, or both. MDH is not obligated to pay for any work performed after notice and effective date of the termination. However, Grantee will be entitled to payment, determined on a pro rata basis, for activities satisfactorily performed to the extent that funds are available. MDH will not be assessed any penalty if this grant agreement is terminated because of the decision of the Minnesota Legislature, or other funding source, not to appropriate funds. MDH must provide Grantee notice of the lack of funding within a reasonable time of MDH receiving notice of the same.

### Termination by Commissioner of Administration

The Commissioner of Administration may unilaterally and immediately cancel this grant agreement if, in the Commissioner’s sole discretion, further performance does not serve MDH’s purposes or is not in the best interests of the State of Minnesota.

## Governing Law, Jurisdiction, and Venue

This grant agreement, amendments and supplements to it, shall be governed by the laws of the State of Minnesota. Venue for all legal proceedings arising out of this grant agreement, or for breach thereof, shall be in the state or federal court with competent jurisdiction in Ramsey County, Minnesota.

## Clerical Error

Notwithstanding Clause “Assignment, Amendments, Waiver, and Grant Agreement Complete” of this grant agreement, MDH reserves the right to unilaterally fix clerical errors, defined as misspellings, minor grammatical or typographical mistakes or omissions, that do not have a substantive impact on the terms of the Grant Agreement without executing an amendment. MDH must inform Grantee of clerical errors that have been fixed pursuant to this paragraph within a reasonable period of time.

## Lobbying

### Grantee must ensure that grant funds are not used for lobbying, which includes paying or compensating any person for influencing or attempting to influence legislators or other public officials on behalf or against proposed legislation, in connection with the awarding of any federal contract, the making of any federal grant, the making of any federal loan, the entering into of any cooperative agreement, or the extension, continuation, renewal, amendment, or modification of any federal contract, grant, loan, or cooperative agreement.

### In accordance with the provisions of [31 USC § 1352](https://www.govinfo.gov/content/pkg/USCODE-2010-title31/pdf/USCODE-2010-title31-subtitleII-chap13-subchapIII-sec1352.pdf), if Grantee uses any funds other than federal funds from MDH to conduct any of the aforementioned activities, Grantee must complete and submit to MDH the disclosure form specified by MDH. Further, Grantee must include the language of this section in all contracts and subcontracts, and all contractors and subcontractors must comply accordingly.

### Providing education about the importance of policies as a public health strategy, however, is allowed. Education includes providing facts, assessment of data, reports, program descriptions, and information about budget issues and population impacts, but stopping short of making a recommendation on a specific piece of legislation. Education may be provided to legislators, public policy makers, other decision makers, specific stakeholders, and the general community.

### By signing this grant agreement, Grantee certifies that it will not use any funds received from MDH to employ, contract with, or otherwise coordinate the efforts of a lobbyist, as defined in [Minn. Stat. § 10A.01](https://www.revisor.mn.gov/statutes/cite/10a.01), subd. 21. This requirement also applies to any subcontractors or subgrantees that Grantee may engage for any activities pertinent to this grant agreement.

## Other Provisions

### Voter Registration Services Requirement

If this grant agreement will disburse any state funds (as indicated on the Award Cover Sheet); AND Grantee is a local unit of government, city, county, township or non-profit organization, then Grantee is required to comply with [Minn. Stat. § 201.162](https://www.revisor.mn.gov/statutes/?id=201.162) by providing voter registration services for its employees and for the public served by the grantee.

### Debarment, Suspension and Responsibility Certification

Federal regulation [2 CFR § 200.214](https://ecfr.federalregister.gov/current/title-2/subtitle-A/chapter-II/part-200/subpart-C#200.214) prohibits MDH from purchasing goods or services with federal money from any party that has been suspended or debarred by the federal government. Similarly, [Minn. Stat. §§ 16C.03](https://www.revisor.mn.gov/statutes/cite/16C.03), subd. 2, and [16B.97](https://www.revisor.mn.gov/statutes/cite/16B.97), subd. 3, provides the Commissioner of Administration with the authority to debar and suspend any party that seeks to contract with MDH.

Anyone may be suspended or debarred when it is determined, through a duly authorized hearing process, that they have abused the public trust in a serious manner. In particular, the federal government expects MDH to have a process in place for determining whether a vendor has been suspended or debarred, and to prevent such vendors from receiving federal funds.

By signing this grant agreement, Grantee certifies that it and its principals:

1. Are not presently debarred, suspended proposed for debarment, declared ineligible, or voluntarily excluded from transacting business by or with any federal, state or local governmental department or agency;
2. Have not within a three-year period preceding this grant agreement: a) been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain or performing a public (federal, state or local) transaction or contract; b) violated any federal or state antitrust statutes; or c) committed embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements or receiving stolen property;
3. Are not presently indicted or otherwise criminally or civilly charged by a governmental entity for: a) commission of fraud or a criminal offense in connection with obtaining, attempting to obtain or performing a public (federal, state of local) transaction; b) violating any federal or state antitrust statutes; or c) committing embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statement or receiving stolen property; and
4. Are not aware of any information and possess no knowledge that any subcontractor(s) that will perform work pursuant to this grant agreement are in violation of any of the certifications set forth above.
5. **Incentives**

When included in the approved Work Plan and or Budget, the following language applies.

***20.1.* *Handling of Incentives*.**

 Grantee is required to have policies and procedures in place addressing the purchasing, security, distribution, and asset tracking of incentives. All grantee staff involved in the purchase, distribution, security, and reconciling of incentives must be trained on the grantee’s policies and procedures prior to the grantee placing any order for incentives. Those policies and procedures must, at a minimum, include the following:

***20.2. Separation of duties***

* 1. More than one Grantee staff person must be involved in the management and handling of the incentives.
	2. The Grantee staff who authorizes the purchase of incentives must not have sole physical access to the incentives.
	3. The Grantee staff who will have physical access to the incentives cannot have sole access to modify the incentives records.
	4. Handoff of incentive from one person to another must be documented.

***20.3*. *Distribution of Incentives*** (incentives may only be used for approved purposes by MDH)

1. Only one incentive can be given to an individual per occurrence/event.
2. Undistributed incentives must always be kept in a secure location. Incentive instruments must never be stored in any personal homes, they must always be securely stored in the grantee’s business space.
3. Grantee will purchase and have on hand no more than three months’ worth of incentives at any given time. The three months’ worth must be based off the most currently approved workplan. All incentives must be distributed prior to grantee purchasing additional incentives.
4. Grantee will be responsible for the costs of any incentives that remain undistributed at the end of the grant agreement.
5. If MDH provided the grantee with the incentives, the return of undistributed incentives to MDH must occur in person with the State’s Authorized Representative within 30 calendar days of the grant expiration date. If in-person return is not possible, the grantee must return undistributed incentives via courier or via US Mail that requires signatures and a tracking number within 30 calendar days of the grant expiration date.
6. The tracking log must be returned separately from the physical cards. Electronic return is the preferred method for the tracking log.
	1. ***Incentive tracking documentation*.**

The tracking documentation the Grantee must maintain must not contain any private data. The tracking system must record the following:

1. Number of incentives on hand, including starting balance and any additional incentives purchased.
2. description of the incentives
3. quantity of incentive(s) distributed to each participant.
4. the last four digits of any pre-paid card number
5. value/amount
6. a unique non-identifiable data point for each participant (e.g. case number, file number),
7. date participant received incentive(s), and
8. signature of Grantee staff member providing incentive(s) to participant(s)
	1. ***Reconciliation.***

At least two different Grantee staff must reconcile the incentives at least quarterly. The Grantee staff conducting the reconciliation must not also be the handlers of the incentives. The reconciliation must include the dates and signature of the two people who perform the reconciliation. Grantee must submit the reconciliation documentation to the State’s Authorized Representative no less than two weeks after each reconciliation.

* 1. ***Subcontracting/Subgranting.***

The Grantee must communicate and verify that their subcontracts/subgrants will only use incentives for MDH approved purposes. The Grantee will be responsible for monitoring, oversight, and reconciliation of any incentives that its subcontractors or subgrantees purchase and distribute and will include this same language in any of its subgrants or subcontracts that it enters as part of its work for MDH.

* 1. ***Lost or stolen incentives*.**

The Grantee bears all financial responsibility for any unaccounted for, lost, or stolen incentives.

* 1. ***Invoicing*.**

If the Grantee purchased the incentives themselves, the Grantee must only invoice MDH for the incentives after they’ve been distributed.

* 1. ***Failure to Comply.***

For grantees who do not have effective written policies and procedures in place before purchasing incentives, MDH reserves the right to withhold payment and or request reimbursement in the amount equal to the unallowable costs. Withheld payments will be released when the grantee provides documentation to MDH that it has written effective policies and procedures in place. Grantees who do not comply with this requirement may be subject to increased monitoring and will be offered technical assistance. MDH also reserves the right to terminate a grant agreement for failure to comply with these requirements.

[Signatures on following page]

# APPROVED:

### State Encumbrance Verification

*Individual certifies that funds have been encumbered as required by Minn. Stat. §§*[*16A.15*](https://www.revisor.mn.gov/statutes/cite/16A.15) *and* [*16C.05*](https://www.revisor.mn.gov/statutes/cite/16C.05)*.*

|  |  |
| --- | --- |
| Signature: |  |
| SWIFT Contract & Initial PO:  |  |

### Grantee

*Grantee certifies that the appropriate persons(s) have executed the grant agreement on behalf of Grantee as required by applicable articles, bylaws, resolutions, or ordinances.*

|  |  |
| --- | --- |
| Signature: |  |
| Title:  |  |
| Date: |  |

|  |  |
| --- | --- |
| Signature: |  |
| Title:  |  |
| Date: |  |

|  |  |
| --- | --- |
| Signature: |  |
| Title:  |  |
| Date: |  |

|  |  |
| --- | --- |
| Signature: |  |
| Title:  |  |
| Date: |  |

### Minnesota Department of Health

*Grant agreement approval and certification that State funds have been encumbered as required by Minn. Stat. §§*[*16A.15*](https://www.revisor.mn.gov/statutes/cite/16A.15) *and* [*16C.05*](https://www.revisor.mn.gov/statutes/cite/16C.05)*.*

|  |  |
| --- | --- |
| Signature:(with delegated authority) |  |
| Title:  |  |
| Date: |  |

*Distribution:*

All parties on the DocuSign envelope will receive a copy of the fully executed grant agreement.

# Exhibit A – Grantee’s Activities/ Scope of Work

Ensure any activities listed have been agreed upon by MDH and the Grantee before listing them here and or sending them to Legal for review.

Add in any terms and conditions based on your Pre-Award Risk Assessment/Due Diligence review.

# Exhibit B – Grantee’s Budget

*This is a sample budget template. Program is NOT required to use this budget table. Programs can use the table below, add/remove cost categories, budget periods, etc.*

*Ensure all amounts add up correctly! Ensure total matches amount listed throughout the grant agreement, the Cover Sheet, and the Encumbrance Worksheet.*

The summary budget is shown for reference only and is non-binding.

|  |  |
| --- | --- |
| Cost Category | Budget Period 1 |
| Personnel/Salary | $ |
| Fringe Benefits | $ |
| Travel | $ |
| Equipment | $ |
| Supplies | $ |
| Contractual | $ |
| Other | $ |
| Total Direct: | $ |
| Indirect Costs: | $ |
| TOTAL: | $ |