

CMS Changes Modification Policy

Effective April 1, 2011, CMS will no longer allow facilities to modify the MDS 3.0 reason for assessment and event date fields. If the reason for assessment or the event date is incorrect, the facility will need to inactivate the assessment or record that is in error and submit a new assessment with the correct information.

CMS published a data specification errata sheet dated January 20, 2011 that stated:

Edit -3811 is a new edit that prevents a modification record from changing a previous record's reason for assessment items, assessment reference date, discharge date, or entry date. The version notes do not make clear that this change will apply to all records submitted on or after 4/1/2011, regardless of their target date.

The error message on the CMS Validation report reads as follows:

-3811 Fatal – None of the Reasons for Assessment (A0310A, A0310B, A0310C, A0310D, A0310F) nor the target date (A1600 for an entry tracking record, A2000 for a discharge, or A2300 for an assessment) maybe modified.

The new modification policy affects the following MDS 3.0 items.

A0310A Type of assessment: OBRA
A0310C Type of assessment: OMRA
A0310B Type of assessment: PPS
A0310D Swing bed clinical change assessment
A0310F Entry/discharge reporting
A1600 Entry date (ONLY on entry tracking records)
A2000 Discharge date
A2300 Assessment reference date
X0100 Correction: type of transaction
X0600A Correction: OBRA reason for assessment
X0600C Correction: OMRA assessment
X0600B Correction: PPS reason for assessment
X0600D Correction: Swing bed clinical change assessment
X0600F Correction: entry/discharge reporting
X0700A Correction: assessment reference date
X0700B Correction: discharge date
X0700C Correction: entry date

If software systems allow modification of any of these items, the modified record will have a fatal error when submitted to the QIES/ASAP system.

Chapter 5 of the RAI manual will be revised the end of April or the first part of May to reflect this change.