

MN Privacy & Security Project

Preliminary Solutions & Implementation Plans

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Work Group Activities

- Patient Consent Subgroup
 - 4 Meetings – 8 hours of discussion
 - Identify options for adding definitions and clarifications to Minnesota's patient consent requirements
 - Discussing advantages and disadvantages for each option.

Work Group Activities

- Authorization, Authentication, Access Controls, and Auditing (4A) Subgroup
 - 4 Meetings – 8 hours of discussion
 - Focused on developing a set of general principles for doing the 4As in a health information exchange.
 - Developing recommendations for continuing the work and ideas of the subgroup after the term of the RTI contract.

Patient Consent SG Charge

- Identify a limited number of options for each term
- Identify the advantages and disadvantages of each option
- Connect related options into a coherent package of options
- Identify any issues associated with implementing various options that need to be considered
- Find consensus on options – when possible

Patient Consent Issues & Options Addressed

- Definition of Health Record / Health Information
- Definition of Medical Emergency
- Record Locator Service
 - Definition of "Record Locator Service"
 - Ability to include identifying information
 - Consent need to include records into RLS

Patient Consent Issues & Options Addressed

- Definition of Related Health Care Entities
- Long-Term Care's difficulties in obtaining patient consent in select situations
- Meaning of Current Treatment
- Ability of a provider to rely on another provider's representation of having obtained consent.

Result of Activities

- Generation of very good options
- Thorough discussion of pros and cons
- Not much consensus
- Not clear agreement “when” or “how” patient consent is, or should, be needed to exchange health information

4A Subgroup Charge

- Define a “Conceptual Solution” – Identify the characteristics or requirements for a solution
- Identify actual solutions or processes that lead to solutions
- Develop plans to implement the solution

4A Issues and Options Addressed

- Create a glossary of security terms and definitions – to ensure everyone was discussing the same idea
- Identify national activities related to the 4As
- Create "24 Assumptions and General Principles for Authorizing and Authenticating Individuals, Setting Access Controls, and Auditing in a Health Information Exchange."

4A Issues and Options Addressed

- Recommendation of a group to continue the community work around 4A issues.
- For each of the 24 principles we will include:
 - Discussion and analysis
 - Identification of possible resources for future activities
 - Identify stakeholders for advancing ideas

Key Issues in 4A Subgroup

- Original charge was overly optimistic
- Final solutions will depend on:
 - Resolution of national activities
 - Technology
 - Health information exchange architecture
 - Additional experience with trying to implement health information exchanges
 - On-going discussions

Result of Activities

- Help everyone to understand the complexity of the issues
- Development of General Principles that can be used to guide early participants in health information exchange
- Create a starting point for future groups' work in developing more detailed specifications for health information exchanges



Documenting Findings

Interim Solutions Report

- Due January 15, 2007
- The Interim Solutions Report is an initial draft of the final report that will:
 - Document the options to patient consent issues, including advantages and disadvantages
 - Document general principles related to the 4As, including discussion of the principles
 - Make recommendations for continuing the work of the 4A subgroup beyond the time of this project.

Interim Implementation Plans Report

- Due February 15, 2007
- The Interim Implementation Plans Report is an initial draft of the final report that will:
 - Further clarify issues related to patient consent and provide some options for implementation
 - Identify possible resources for advancing the general principles of the 4As
 - Identify stakeholders needed to help advance the general principles of the 4As

Final Report

- Due March 30, 2007
- The Final Project Report
 - Combines and further refines all previous project reports and assessments
 - Assessment of Barriers Report
 - Interim Solutions Report
 - Interim Implementation Plans Report
 - Incorporates public comments
 - Presented at National Meeting of all state contractors.

Thank You! - Questions

Key Contacts for More Information:

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