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STATE LICENSING COMPLIANCE REPORT

Report #: HL390257640C

Date Concluded: March 9, 2026

**Name, Address, and County of Facility
Investigated:**

Yu Home Care
1050 Arkwright Street North, Saint Paul,
MN 55130
Ramsey County

Facility Type: Home Care Provider

Evaluator's Name: RHONDA MAKELA

The Minnesota Department of Health conducted a complaint investigation to determine compliance with state laws and rules governing the provision of care under Minnesota Statutes, Chapter 144A. The purpose of this complaint investigation was to review if facility policies and practices comply with applicable laws and rules. No maltreatment under Minnesota Statutes, Chapter 626 was alleged.

To view a copy of the correction orders, if any, please visit:

<https://www.health.state.mn.us/facilities/regulation/directory/provcompselect.html>, or call 651-201-4201 to be provided a copy via mail or email. If you are viewing this report on the MDH website, please see the attached state form.

Minnesota Department of Health

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION		(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER: H39025	(X2) MULTIPLE CONSTRUCTION A. BUILDING: _____ B. WING _____		(X3) DATE SURVEY COMPLETED C 03/09/2026
NAME OF PROVIDER OR SUPPLIER YU HOME CARE		STREET ADDRESS, CITY, STATE, ZIP CODE 911 MARYLAND AVE E SUITE F5 SAINT PAUL, MN 55106			
(X4) ID PREFIX TAG	SUMMARY STATEMENT OF DEFICIENCIES (EACH DEFICIENCY MUST BE PRECEDED BY FULL REGULATORY OR LSC IDENTIFYING INFORMATION)		ID PREFIX TAG	PROVIDER'S PLAN OF CORRECTION (EACH CORRECTIVE ACTION SHOULD BE CROSS-REFERENCED TO THE APPROPRIATE DEFICIENCY)	(X5) COMPLETE DATE
0 000	Initial Comments *****ATTENTION***** HOME CARE PROVIDER CORRECTION ORDER In accordance with Minnesota Statutes, section 144A.43 to 144A.482, these correction orders are issued pursuant to a complaint investigation. Determination of whether a violation is corrected requires compliance with all requirements provided at the statute number indicated below. When a Minnesota Statute contains several items, failure to comply with any of the items will be considered lack of compliance. INITIAL COMMENTS #HL390257640C On March 9, 2026, the Minnesota Department of Health conducted a compliance investigation at the above provider, and the following correction orders are issued. At the time of the complaint investigation, there were zero (0) clients receiving services under the provider's Comprehensive Home Care license. The following correction order is issued for #HL390257640C, tag identification 0445.		0 000	Minnesota Department of Health is documenting the State Licensing Correction Orders using federal software. Tag numbers have been assigned to Minnesota State Statutes for Home Care Providers. The assigned tag number appears in the far-left column entitled "ID Prefix Tag." The state Statute number and the corresponding text of the state Statute out of compliance is listed in the "Summary Statement of Deficiencies" column. This column also includes the findings which are in violation of the state requirement after the statement, "This Minnesota requirement is not met as evidenced by." Following the surveyors' findings is the Time Period for Correction. PLEASE DISREGARD THE HEADING OF THE FOURTH COLUMN WHICH STATES,"PROVIDER'S PLAN OF CORRECTION." THIS APPLIES TO FEDERAL DEFICIENCIES ONLY. THIS WILL APPEAR ON EACH PAGE. THERE IS NO REQUIREMENT TO SUBMIT A PLAN OF CORRECTION FOR VIOLATIONS OF MINNESOTA STATE STATUTES. THE LETTER IN THE LEFT COLUMN IS USED FOR TRACKING PURPOSES AND REFLECTS THE SCOPE AND LEVEL ISSUED PURSUANT TO 144A.474 SUBDIVISION 11 (b)(1)(2).	
0 445 SS=F	144A.471, Subd. 7 Comprehensive Home Care Provider		0 445		

Minnesota Department of Health

LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE

TITLE

(X6) DATE

Minnesota Department of Health

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0 445	Continued From page 1 Home care services that may be provided with a comprehensive home care license include any of the basic home care services listed in subdivision 6, and one or more of the following: (1) services of an advanced practice nurse, physician assistant, registered nurse, licensed practical nurse, physical therapist, respiratory therapist, occupational therapist, speech-language pathologist, dietitian or nutritionist, or social worker; (2) tasks delegated to unlicensed personnel by a registered nurse or assigned by a licensed health professional within the person's scope of practice; (3) medication management services; (4) hands-on assistance with transfers and mobility; (5) treatment and therapies; (6) assisting clients with eating when the clients have complicating eating problems as identified in the client record or through an assessment such as difficulty swallowing, recurrent lung aspirations, or requiring the use of a tube or parenteral or intravenous instruments to be fed; or (7) providing other complex or specialty health care services. This ELEMENT is not met as evidenced by: Based on interview and record review, the licensee failed to provide at least one comprehensive home care service to each person identified as a client under the care of the licensee. This practice resulted in a level two violation (a violation that did not harm a client's health or safety but had the potential to have harmed a client's health or safety, but was not likely to cause serious injury, impairment, or death), and	0 445			

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0 445	Continued From page 2 was issued at a widespread scope (when problems are pervasive or represent a systemic failure that has affected or has potential to affect a large portion or all of the clients). The findings include: The license was effective on April 29, 2022. The licensee's last (renewal) Application for License to Operate as a Comprehensive Home Care Provider was signed on February 26, 2025, by owner (O)-A, at the conclusion of the application. Under the Description of Other Licenses section, the licensee indicated they had the Home and Community-Based Services 245D license. Under the section Home Care Servies Offered, the licensee indicated they or their employees would directly provide each Comprehensive Home Care Service. The Ownership Information Page 1 section read, "The applicant/licensee must provide at least one home care service directly, meaning this service is either provided by the individual listed below (sole proprietorships) or the service is provided by an employee(s) of the legal entity/sole proprietor below." The application further directs the applicant to 144A.471, Subd. 2 where the statute further indicates the licensee "holds itself out as a provider of home care services..." Under the Revenue Information section read, "Renewal fees are based on revenue from providing licensed home care services." The application depicts the holder of the license received revenue during the prior year from providing licensed home care services. Under the section titled Verification, is the statement, "I certify that I have read and	0 445			

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0 445	Continued From page 3 understand the following Minnesota Statutes," with a checked box by O-A placed before the following: Home Care Laws. Chapter 144A, sections 144A.43 through 144A.484. The licensee's (renewal) application for Integrated License: Home and Community-Based Services (HCBS) Designation was signed on October 9, 2025, by O-A. On page three (3), the application directed, "A licensed home care provider with an integrated license: HCBS designation (designation) must comply with the requirements for home care providers governed by Minnesota Statutes, sections 144A.43 - 144A.484." On March 9, 2026, at 1:59 p.m., O-A initially indicated via email the licensee only provided 245D Basic Support Services to four (4) clients. O-A later corrected the information to indicate three current clients and one discharged client. O-A confirmed none of the licensee's clients received any 144A homecare services. Review of the licensee's current client roster dated January 1, 2026, received on March 9, 2026, at 1:59 p.m., indicated 3 current clients, C1, C2, and C3, as well as one discharged client, C4 received only 245D basic support services. On March 9, 2026, at 2:52 p.m., O-A provided Department of Health Services (DHS) billing statements dated December 2024, and January 2025, for C1, C2, C3, and C4. The billing statements indicated the following billing codes were used for the licensee's clients: - S5135 UC- Individualized Home Supports without Training; - S5135- Night Supervision; and - S5130- Homemaking.	0 445			

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0 445	Continued From page 4 No further information was provided. TIME PERIOD FOR CORRECTION: Sixty (60) days	0 445			
0 000	Integrated License (HCBS) Initial Comments INITIAL COMMENTS: #HL390257640C On March 9, 2026, the Minnesota Department of Health conducted a compliance investigation at the above provider, and the following correction order is issued. At the time of the investigation, there were three (3) clients that were only receiving services under the Integrated licensure: Home and Community Based Service Designation. As a result of the investigation, the licensee was determined not to be in compliance with 144A.484 Integrated Licensure: Home and Community Based Service Designation. The following correction order is issued for #HL390257640C, tag identification 8000.	0 000	Minnesota Department of Health is documenting the State Licensing Correction Orders using federal software. Tag numbers have been assigned to Minnesota State Statutes for Home Care Providers. The assigned tag number appears in the far left column entitled "ID Prefix Tag." The state Statute number and the corresponding text of the state Statute out of compliance is listed in the "Summary Statement of Deficiencies" column. This column also includes the findings which are in violation of the state requirement after the statement, "This Minnesota requirement is not met as evidenced by." Following the surveyors' findings is the Time Period for Correction. PLEASE DISREGARD THE HEADING OF THE FOURTH COLUMN WHICH STATES, "PROVIDER'S PLAN OF CORRECTION." THIS APPLIES TO FEDERAL DEFICIENCIES ONLY. THIS WILL APPEAR ON EACH PAGE. THERE IS NO REQUIREMENT TO SUBMIT A PLAN OF CORRECTION FOR VIOLATIONS OF MINNESOTA STATE STATUTES. THE LETTER IN THE LEFT COLUMN IS		

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0 000	Continued From page 5	0 000	USED FOR TRACKING PURPOSES AND REFLECTS THE SCOPE AND LEVEL ISSUED PURSUANT TO 144A.474 SUBDIVISION 11 (b)(1)(2).		
08000 SS=F	144A.484, Subd. 4 Applicability of Home,Community-based Serv Rq A home care provider with a home and community-based services designation must comply with the requirements for home care services governed by this chapter. For the provision of basic support services, the home care provider must also comply with the following home and community-based services licensing requirements: (1) service planning and delivery requirements in section 245D.07; (2) protection standards in section 245D.06; (3) emergency use of manual restraints in section 245D.061; and (4) protection-related rights in section 245D.04, subdivision 3, paragraph (a), clauses (5), (7), (8), (12), and (13), and paragraph (b). A home care provider with the integrated license-home and community-based services designation may utilize a bill of rights which incorporates the service recipient rights in section 245D.04, subdivision 3, paragraph (a), clauses (5), (7), (8), (12), and (13), and paragraph (b) with the home care bill of rights in section 144A.44. This STANDARD is not met as evidenced by: Based on interview and record review, the licensee did not provide any home care services to those identified as clients who received services under the home and community-based service (HCBS) integrated license designation that would otherwise require (separate) licensure	08000			

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08000	Continued From page 6 under chapter 245D. This affected all of the licensee's clients. This practice resulted in a level two violation (a violation that did not harm a client's health or safety but had the potential to have harmed a client's health or safety, but was not likely to cause serious injury, impairment, or death), and was issued at a widespread scope (when problems are pervasive or represent a systemic failure that has affected or has potential to affect a large portion or all of the clients). The findings include: The licensee's (renewal) application for Integrated License: Home and Community-Based Services (HCBS) Designation was signed on February 27, 2025, by owner (O)-A. Under the section 245D Basic Support Services Offered the application directed, "A licensed home care provider with an integrated license: HCBS designation (designation) must comply with the requirements for home care providers governed by Minnesota Statutes, sections 144A.43 - 144A.484." The licensee was also directed to indicate with a check mark any basic support services (as defined in 245D.03) that they will provide. The licensee indicated they were enrolled to provide seven (7) basic support services. On March 9, 2026, at 1:59 p.m., O-A initially indicated via email that licensee served four (4) clients under their integrated home care license, all of whom only received 245D services and zero (0) clients receiving qualified services under their comprehensive license. The licensee later corrected the information, stating there were	08000			

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08000	Continued From page 7 three current clients, and one discharged client. Review of the licensee's current client roster dated January 1, 2026, received on March 9, 2026, at 1:59 p.m., indicated every client received only 245D basic support services. On March 9, 2026, at 2:52 p.m., O-A provided Department of Human Services (DHS) billing statements dated December 2024, and January 2025, for C1, C2, and C3. The billing statements indicated the following billing codes were used for the licensee's 245D clients: -S5135 UC- Individualized Home Supports without Training; and - S5130- Homemaking. No further information was provided. TIME PERIOD FOR CORRECTION: Sixty (60) days	08000			