

Average Number of Deficiencies Cited Per Survey by
Region V States and Nation
on Standard and Complaint Surveys
FY 2016

State	Average Number of Deficiencies Cited Per Survey		
	Standard Surveys		Complaint Surveys
Illinois	5.3		0.5
Indiana	5.5		0.8
Michigan	7.3		0.6
Minnesota	6.6		0.4
Ohio	4.2		0.6
Wisconsin	6.6		1.1
U.S.	5.9		0.6

Source: PDQ "Average Number of Deficiencies Report," run
November 22, 2016

Top 10 Citations Cited by Region V States and Nation
on Standard and Complaint Surveys
FY 2016

State	Standard Surveys		Complaint Surveys	
	Tag #	% Surveys Cited	Tag #	% Surveys Cited

Illinois # Standard Surveys = 758 # Complaint Surveys = 4,203	F0441	51.8%	F0323	6.8%
	F0371	35.5%	F0309	3.6%
	F0323	34.3%	F0157	3.4%
	F0315	25.3%	F0226	2.8%
	F0309	21.6%	F0225	2.8%
	F0314	19.7%	F0314	2.8%
	F0312	19.5%	F0312	2.6%
	F0465	19.1%	F0441	1.7%
	F0431	16.8%	F0246	1.5%
	F0226	13.7%	F0315	1.3%
	F0280	13.7%		

Indiana # Standard Surveys = 474 # Complaint Surveys = 1,523	F0371	39.0%	F0323	8.3%
	F0441	33.1%	F0282	6.6%
	F0323	30.0%	F0309	6.4%
	F0282	29.5%	F0157	5.4%
	F0329	28.7%	F0226	4.1%
	F0465	28.3%	F0514	3.9%
	F0309	24.9%	F0225	3.7%
	F0279	23.6%	F0314	2.6%
	F0431	20.7%	F0312	2.6%
	F0241	16.9%	F0465	2.4%

Michigan # Standard Surveys = 436 # Complaint Surveys = 2,264	F0441	56.4%	F0323	7.8%
	F0371	52.1%	F0309	4.9%
	F0323	44.7%	F0226	3.9%
	F0309	33.0%	F0225	3.4%
	F0431	32.8%	F0241	1.9%
	F0465	29.4%	F0312	1.7%
	F0329	25.7%	F0223	1.6%
	F0226	21.8%	F0157	1.6%
	F0314	21.8%	F0441	1.5%
	F0279	18.1%	F0314	1.5%
		F0281	1.5%	

Top 10 Citations Cited by Region V States and Nation
on Standard and Complaint Surveys
FY 2016

State	Standard Surveys		Complaint Surveys	
	Tag #	% Surveys Cited	Tag #	% Surveys Cited

Minnesota		F0282	48.4%	F0323	4.8%	
		F0441	41.2%	F0309	3.8%	
	# Standard Surveys = 374		F0309	38.0%	F0226	2.9%
			F0329	30.5%	F0225	2.9%
			F0465	26.5%	F0157	2.4%
			F0279	25.4%	F0314	2.1%
		# Complaint Surveys = 373		F0314	24.6%	F0333
			F0323	24.3%	F0282	1.9%
			F0431	24.1%	F0312	1.6%
			F0312	23.8%	F0441	1.3%
				F0315	1.3%	

Ohio		F0441	25.9%	F0323	4.7%	
		F0371	25.0%	F0309	3.9%	
	# Standard Surveys = 817		F0329	24.8%	F0225	3.4%
			F0323	22.4%	F0226	3.2%
			F0309	21.8%	F0514	2.9%
			F0278	13.7%	F0157	2.6%
		# Complaint Surveys = 3211		F0279	13.7%	F0441
			F0241	11.8%	F0312	2.0%
			F0431	11.4%	F0425	1.8%
			F0514	11.4%	F0314	1.7%
				F0241	1.7%	

Wisconsin		F0371	49.4%	F0225	11.1%	
		F0441	49.1%	F0323	10.0%	
	# Standard Surveys = 336		F0314	39.3%	F0309	8.5%
			F0323	38.4%	F0157	6.4%
			F0225	29.5%	F0425	5.2%
			F0329	25.6%	F0514	5.1%
		# Complaint Surveys = 732		F0315	25.0%	F0314
			F0309	24.7%	F0441	3.7%
			F0425	24.4%	F0226	3.6%
			F0226	18.8%	F0353	3.4%

Top 10 Citations Cited by Region V States and Nation
on Standard and Complaint Surveys
FY 2016

Nation	Standard Surveys		Complaint Surveys	
	Tag #	% Surveys Cited	Tag #	% Surveys Cited
U.S. # Standard Surveys = 14,390 # Complaint Surveys = 52,112	F0441	39.0%	F0323	5.5%
	F0371	37.6%	F0309	4.5%
	F0323	28.3%	F0225	3.0%
	F0309	25.2%	F0226	2.5%
	F0431	21.8%	F0157	2.4%
	F0329	21.2%	F0514	2.3%
	F0279	20.0%	F0441	2.1%
	F0241	15.8%	F0279	1.8%
	F0514	15.6%	F0314	1.6%
	F0282	13.6%	F0281	1.6%
			F0312	1.6%

NOTE: If more than one deficiency had the same percentage, all of the deficiencies with that percentage are listed. This may make some jurisdictions have more than 10 deficiencies listed.

Source: PDQ "Citation Frequency Report", run November 22, 2016

List of Top Citations on Top 10 Report for Region V and Nation
on Standard and Complaint Surveys
FY 2016

Tag #	Description
F0157	NOTIFY OF CHANGES (INJURY/DECLINE/ROOM, ETC)
F0223	FREE FROM ABUSE/INVOLUNTARY SECLUSION
F0225	INVESTIGATE/REPORT ALLEGATIONS/INDIVIDUALS
F0226	DEVELOP/IMPLEMENT ABUSE, NEGLECT, ETC POLICIES
F0241	DIGNITY AND RESPECT OF INDIVIDUALITY
F0246	REASONABLE ACCOMMODATION OF NEEDS/PREFERENCES
F0278	ASSESSMENT ACCURACY/COORDINATION/CERTIFIED
F0279	DEVELOP COMPREHENSIVE CARE PLANS
F0280	RIGHT TO PARTICIPATE IN PLANNING CARE - REVISE CARE PLAN
F0281	SERVICES PROVIDED MEET PROFESSIONAL STANDARDS
F0282	SERVICES BY QUALIFIED PERSONS IN ACCORDANCE WITH CARE PLAN
F0309	PROVIDE CARE/SERVICES FOR HIGHEST WELL BEING
F0312	ADL CARE PROVIDED FOR DEPENDENT RESIDENTS
F0314	TREATMENT/SERVICES TO PREVENT/HEAL PRESSURE ULCERS
F0315	NO CATHETER, PREVENT UTI, RESTORE BLADDER
F0323	FREE OF ACCIDENT HAZARDS/SUPERVISION/DEVICES
F0329	DRUG REGIMEN IS FREE FROM UNNECESSARY DRUGS
F0333	RESIDENTS FREE FROM SIGNIFICANT MED ERRORS
F0353	SUFFICIENT 24-HR NURSING STAFF PER CARE PLANS
F0371	FOOD PROCURE, STORE/PREPARE/SERVE - SANITARY
F0425	PHARMACEUTICAL SERVICES - ACCURATE PROCEDURES, REGISTERED PHARMACIST
F0431	DRUG RECORDS, LABEL/STORE DRUGS & BIOLOGICALS
F0441	INFECTION CONTROL, PREVENT SPREAD, LINENS
F0465	SAFE/FUNCTIONAL/SANITARY/COMFORTABLE ENVIRONMENT
F0514	RESIDENT RECORDS - COMPLETE/ACCURATE/ACCESSIBLE

Total Deficiencies Cited by Region V States and Nation by Severity Level
on Standard and Complaint Surveys
FY 2016

State	Standard Surveys		Complaint Surveys	
	Number Tags Cited	% Distribution	Number Tags Cited	% Distribution

Illinois				
Total deficiencies cited	4,039	100.0%	2,111	100.0%
Level 4	10	0.2%	21	1.0%
Level 3	56	1.4%	133	6.3%
Level 2	3,590	88.9%	1,884	89.2%
Level 1	383	9.5%	73	3.5%

Indiana				
Total deficiencies cited	2,603	100.0%	1,216	99.9%
Level 4	20	0.8%	40	3.3%
Level 3	81	3.1%	122	10.0%
Level 2	2,432	93.4%	1,050	86.3%
Level 1	70	2.7%	4	0.3%

Michigan				
Total deficiencies cited	3,194	100.1%	1,236	99.9%
Level 4	18	0.6%	36	2.9%
Level 3	86	2.7%	135	10.9%
Level 2	2,915	91.3%	1,051	85.0%
Level 1	175	5.5%	14	1.1%

Minnesota				
Total deficiencies cited	2,461	100.0%	142	100.0%
Level 4	6	0.2%	2	1.4%
Level 3	51	2.1%	26	18.3%
Level 2	2,291	93.1%	114	80.3%
Level 1	113	4.6%	0	0.0%

Total Deficiencies Cited by Region V States and Nation by Severity Level
on Standard and Complaint Surveys
FY 2016

State	Standard Surveys		Complaint Surveys	
	Number Tags Cited	% Distribution	Number Tags Cited	% Distribution
Ohio				
Total deficiencies cited	3,428	100.0%	1,863	99.9%
Level 4	18	0.5%	79	4.2%
Level 3	56	1.6%	73	3.9%
Level 2	3,201	93.4%	1,664	89.3%
Level 1	153	4.5%	47	2.5%
Wisconsin				
Total deficiencies cited	2,214	100.0%	817	100.0%
Level 4	37	1.7%	43	5.3%
Level 3	60	2.7%	59	7.2%
Level 2	2,018	91.1%	696	85.2%
Level 1	99	4.5%	19	2.3%
U. S.				
Total deficiencies cited	84,557	100.0%	30,712	100.0%
Level 4	654	0.8%	1,336	4.4%
Level 3	1,775	2.1%	2,302	7.5%
Level 2	78,494	92.8%	26,294	85.6%
Level 1	3,634	4.3%	780	2.5%

Notes: Level 1 deficiencies only include tags cited at Levels B and C
Source: PDQ "Deficiency Count Report," run November 22, 2016